

TRF Portal User Agreement

NYSE Group, Inc. TRF Portal Authorization

NYSE Group, Inc., on behalf of the Business Member of the FINRA/NYSE Trade Reporting Facility® LLC ("TRF"), agrees to provide access to its TRF Portal to the company set forth below ("Firm"), subject to the following: Firm acknowledges and agrees that its use of the TRF is: (1) governed by the Terms of Use available at https://www.ice.com/publicdocs/nyse/NYSE_Online_Tools_Terms_of_Use.pdf and that by executing this Portal User Agreement, Firm affirms Firm's review of, understanding of and assent to the Terms of Use; (2) the individuals listed below may access and use the TRF Portal on behalf of Firm (each a "User"); (3) if a User has left the Firm or is no longer authorized to use the tools, the Firm must immediately notify crs@nyse.com; and (4) Firm is responsible for the acts of User.

General Information

Firm Name: _____
Business Address: _____
City: _____ State: _____ Zip Code: _____
Business Phone: _____ CRD #: _____

Select Firm Type:

Reporting Participant Firm (Read/Cancel) Contra Participant Firm (Read Only) Clearing Firm (Read Only)

TRF Portal User

First/Last Name: _____
Email Address: _____
 Read Only (Applies to all Firm Types)
 Read / Cancel (Reporting Firm Only) Specify MPID(s): _____

TRF Portal User

First/Last Name: _____
Email Address: _____
 Read Only (Applies to all Firm Types)
 Read / Cancel (Reporting Firm Only) Specify MPIDs: _____

TRF Portal User*

First/Last Name: _____
Email Address: _____
 Read Only (Applies to all Firm Types)
 Read / Cancel (Reporting Firm Only) Specify MPIDs: _____

*If additional users are required, please attach multiple copies of this form.

Authorization and Acceptance

By (Signature) _____
Name: _____
Title: _____
Phone: _____
Email Address: _____
Date: _____

Completed Agreement should be returned via email to crs@nyse.com.