
NYSE Options - Cap Strategy Participant ID Application

Upon migration to NYSE Pillar, trades submitted for Cap Strategy fee treatment on NYSE Arca Options must use an Exchange assigned ID to receive the Fee Cap processing. The same ID may be used on NYSE American Options when it migrates to NYSE Pillar platform.

Instructions

- Complete the below information submit it to Options.Billing@ice.com.
- If more than one OCC # is used, list all OCC numbers used in the OCC Clearing # section.
- Upon application approval and receipt of Exchange assigned Cap Strategy Participant ID, instruct Floor Brokers to select their ID to identify their eligible strategy trades.
- Floor market makers may instruct brokers who represent them to enter their ID, or they may enter the ID in the Trade Ops Portal (TOP) during trade allocation acknowledgement or during the trading day.

Firm Name:	
Address:	
City:	
State:	
Zip Code:	
Telephone:	
E-mail Address:	
Firm CRD #:	
Firm Representative Name:	
OCC Clearing #	

*Please Note: All fields are required